

**SRG/STIG Applicability Guide**

**and**

**Applicable SRG/STIG Collection Tool**

**Overview**

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# READ ME FIRST – Warnings and NOTES about the guide and tool

See “READ ME FIRST – WARNINGS & NOTES.docx” for warnings and notes related to the SRG/STIG Applicability Guide and Tool document. This file is distributed within the Guide/Tool folder.

## Tool Automation Enablement

See “READ ME FIRST – WARNINGS & NOTES.docx” for instructions on how to enable or activate the Tool Automation.

# INTRODUCTION

The Defense Information Systems Agency (DISA) Field Security Operations (FSO) IA Standards Branch (FS51) develops Information Assurance Guides for the Department of Defense (DoD). These documents are called Security Requirements Guides (SRGs) and Security Technical Implementation Guides (STIGs) which are based on DoD policy. Under DoD policy, compliance with the applicable SRG(s)/STIG(s) is mandatory for all DoD Information Systems (ISs), networks, and enclaves. As such, these “guides” are to be treated as policy and must be used to secure DoD Information Systems. The implementation of the principles and guidelines in the applicable SRGs/STIGs will provide an environment that meets or exceeds the security requirements for DoD ISs.

SRGs and STIGs are provided under the authority of the DoDD 8500.01E, which states “all IA and IA-enabled IT products incorporated into DoD information systems shall be configured in accordance with DoD-approved security configuration guidelines” while it tasks DISA to “develop and provide security configuration guidance for IA and IA-enabled IT products in coordination with Director, NSA.”

There are a large number of SRGs and STIGs that cover a wide range of information assurance topics for a wide range of IS types and technologies. These topics include required system/device capabilities, system specific configuration settings, system and network architectures, as well as Program, system, and site policy.

NOTE: See the “*ABOUT SRG, CCI, STIGs, Checklists, Tools, & Automation*” document for a discussion of, and definitions for, SRGs, STIGs, and related topics. This file is distributed within the Guide/Tool folder.

## SRG/STIG User Community

The SRGs and STIGs are used by a variety of individuals for a variety of purposes.

* Program Managers and system administrators (SAs) are required to use SRG/STIG guidance to configure their ISs and networks.
* Certification and Accreditation personnel (reviewers and management) use the SRGs/STIGs as the basis for reviewing systems, networks, and enclaves to determine and certify their IA posture in preparation for a determination of risk and for subsequent accreditation or authorization resulting in an Authority to Operate (ATO).
* In accordance with DoDI 8100.04, Certification and Accreditation personnel (system testers and management) use the SRGs/STIGs as one part of the basis for reviewing vendor’s products to determine their IA posture in preparation for a determination of risk and for subsequent certification resulting in listing of the product on the DoD Unified Capabilities (UC) Approved Products List (APL). APL listing is required for systems that provide or support real-time voice, video and near-real-time data communications services and transport prior to DoD components being permitted to purchase the product. (NOTE: not all vendors’ products purchased by DoD are subject to this policy.)
* DoD engineers and designers (should) use the SRGs/STIGs when designing systems and networks.
* Vendor engineers and designers (should) use the SRGs/STIGs when designing systems and products that will ultimately be used by DoD components. This will speed approval of the product for use in the DoD as well as providing good customer service by aiding customers in meeting the DoD requirements for which they will be held accountable.

## SRG/STIG Applicability Guide and Tool Purpose and Description

The purpose of the SRG/STIG Applicability Guide and Tool is to assist the SRG/STIG user community in determining what SRGs and/or STIGs apply to a particular situation or Information System. These include a Base/Camp/Post/or Station (BCPS), facility, Program /Service/major application, enclave, network, system, device, or vendor’s product. To affect this end, this document consists of two main parts, the *Guide* and the *Tool*. The *Guide* is a listing of SRGs and STIGs coupled with an explanation of the scope or coverage of each along with a table of items to which the SRG/STIG is applicable. The *Tool* is the structured selection process. This takes the form of a flow chart / decision tree that will guide the user to the applicable SRG(s) and/or STIG(s) based on user interaction and decisions. The output of the Tool is a fully formatted document containing a “Collection” of SRGs and STIGs applicable to the situation being addressed. This document can serve as an artifact in the System Authorization and Risk Management processes.

## SRG and STIG Selection Overview in General

To understand the SRG/STIG selection process, one must first have an understanding of the basis of legacy STIGs vs SRGs, NIAP Protection Profiles, DoD PP Annexes, and new STIGs based upon them. Discussions of these topics can be found in “*ABOUT SRG, CCI, STIGs, Checklists, Tools, & Automation*”. This file is distributed within the Guide/Tool folder.

Determining the applicability of any given SRG/STIG is driven by two factors. Some SRGs/STIGs relate directly to computing assets or platforms, their operating systems and applications, while other STIGs relate to a broader system asset (groups of computing assets working together) or transport network asset and the systems (assets) they contain. This latter group of STIGs contain both policy related guidance as well as technological (system architecture and configuration) guidance.

Determining which SRGs/STIGs and tools are applicable to a physical computing platform asset is rather simple. One can easily figure out what operating system and applications a given device is running. This easily translates to selecting the appropriate OS and application STIGs for the platform. It becomes a little more difficult when the computing platform asset implements virtualization. In this case, the physical computing platform asset must be treated as multiple assets. The physical platform is its own asset requiring the selection of a STIG for a bare metal hypervisor (Type-1) as its OS or multiple SRGs/STIGs for a host OS, a hypervisor application (Type-2), and any other installed applications. Additionally, each virtual machine is an asset requiring selection of STIGs for its OS and its installed applications. In effect, the physical computing platform asset is treated as a system of assets.

Determining which SRGs/STIGs and tools are applicable to a system or enclave is more difficult. The approach is a little different in that one must look at the larger picture then break it down into individual computing platform assets. This is similar to addressing a virtualized computing platform described above. The system or enclave is its own asset with applicable SRGs/STIGs, while each network element and computing platform within it is an asset, each with its own applicable SRGs/STIGs.

### Overall SRG / STIG Selection Process

SRG and STIG selection is based on the scope of the target entity and its sub entities or components. The process generally follows a series of steps starting with determining the scope of the target entity then selecting the applicable SRGs and STIGs starting from the largest entity working toward all of the smallest entities as follows:

**Step 1. Site, LAN/CAN enclave**

Step 1.1. Select site policy, Enclave, and Network related SRGs and STIGs.

**Step 2. Network**

Step 2.1. Select SRGs and/or STIGs for network and enclave policy as well as SRGs and/or STIGs for each network device that comprises the network and network boundary.

**Step 3. System** (i.e., a collection of devices working together to provide a function or service)

Step 3.1. Select SRGs and STIGs related to the given system if available. Select enclave and network related SRGs and STIGs if the system is contained within its own segregated network. Proceed to Step 4 repeatedly to select SRGs and STIGs for each device comprising the system. The collection must include the network and network devices that interconnect these devices if dedicated to the system.

**Step 4. Device**

Step 4.1. Select the STIG or SRG, if available, that specifically addresses the type of device;

AND/OR,

Step 4.2. Select the STIG or SRG that specifically addresses the device’s Type 1 (bare metal) virtualization hypervisor (if used) or its operating system.

NOTE: Each virtual machine (VM) must be treated as an individual device.

Step 4.3. Select the SRG or STIG that specifically address the Type 2 (hosted) virtualization hypervisor (if used).

NOTE: a host OS must already be selected under Step 4.2.

NOTE: Each VM must be treated as an individual device.

Step 4.4. If addressing a VM or other virtualized device.

Step 4.3.1. Select the SRG or STIG, if available, that specifically addresses the device type.

AND/OR,

Step 4.3.2. Select the STIG or SRG that specifically addresses the operating system for each VM.

Step 4.5. Select all STIGs and/or SRGs that specifically addresses each of the applications or application types that run on each device or VM.

Step 4.6. If addressing more than one device, repeat steps 4.1 through 4.6 for each device, VM, and/or virtualized device.

NOTE: If a STIG is not available for a given device, device type, operating system or application, select the child technology SRG that is most relevant to the target. If there is no relevant child SRG, select the core SRG that is most relevant to the target. Available STIGs take precedence over SRGs. Legacy STIGs remain in force until removed from publication; which will be when they are no longer relevant and have been replaced by SRGs or product specific STIGs.

## How to Use This Guide and Tool

### SRG/STIG Selection Tool Capabilities Overview

Using the automation (when enabled) and hyperlinks associated with the decision tree (flow charts) in the *Tool* and the SRG/STIG selection tables in the *Guide*, a bulleted listing with headings, referred to as a *Collection*, of SRGs/STIGs applicable to the given situation (i.e., single device, system, enclave, site, or program, etc) can be created. The Collection is developed in a fully formatted external document (based on a template). This bulleted collection will include a title page and headings related to the situation being addressed. Additional headings will define subsections within the collection. When the Collection is initiated it is saved to the “Output” folder within the folder containing the Guide/Tool documents. The document is saved as each heading and table entry is added.

If necessary, the Tool and Collection documents can be closed and development continued at a later time. To facilitate this, a collection associated .ini file is saved the “Output” folder, as each collection is developed. This .ini file contains the values of various automation variables related to the state of collection development. As the *Tool* is activated it is initialized to develop a new collection. If one or more .ini files are detected in the “Output” folder, a dialogue is presented to permit .ini file selection to continue previously initiated collection development. Upon selection, the collection file is opened and the Tool variables are restored to their state when collection development was terminated. This functionality requires that the collection documents and their .ini files remain in the “Output” folder. These files must not be renamed either. Only once collection development is complete, may the Collection file be moved from the “Output” folder and the .ini file deleted. Old unnecessary .ini files left in the output folder will only confuse the tool and cause it to unnecessarily ask if continued collection development is desired. Additionally, the only way to continue Collection development using the *Tool* automation is to open the collection through selection of its .ini file via the *Tool* itself.

Once the collection is developed, the selected SRGs/STIGs can be manually and individually downloaded from the IASE web site subsequent to, or during collection creation using the [Download] web links provided. If appropriately managed, the downloaded SRGs/STIGs that are presented in XCCDF format may be ingested by the FSO STIG Viewer. This is accomplished by placing the downloaded SRGs/STIGs in a folder (potentially with sub-folders), zipping it, then importing the .zip into the STIG Viewer.

The decision tree begins with sites, enclaves, networks, and systems. Devices addressed include network elements, network support and protection elements/functions, servers, mainframes, and workstations. The decision tree logic for each physical device includes the ability to address virtualized systems using a bare metal or hosted hypervisor with multiple virtual machines. The logic also addresses the OS and applications on each physical device and/or virtual machine.

**CAUTION:** Since the flow charts start at the largest entity (i.e., a site/location) and work toward the smallest single device and then the software components on that device, the *Tool* can create a SRG/STIG collection that is extremely large and unwieldy. It could contain all the SRGs/STIGs applicable to a site including all the SRGs/STIGs applicable to one or more enclaves at that site and including all the SRGs/STIGs applicable to each network device, workstation, and server in each enclave. As such, it is highly recommended that the scope of each collection be limited. Multiple collections should be developed to address all of the entities noted above. An example of a logical breakdown might be to generate separate collections for the following:

* Site and enclave policy
* Enclave network components with one of each type of Network Element (NE) addressed
* System including multiple servers and network devices which may or may not be virtualized on a single device.
* Physical servers or mainframes
* Individual virtualized servers or workstations
* Workstations

NOTE: the size of a SRG/STIG collection can also become very large when addressing servers and mainframes, particularly if they are virtualized and you are trying to include all of the virtual machines with their OSs and applications.

The *Tool* flow charts contain hyperlinks and automation elements in the form of command buttons, while the SRG/STIG tables automation elements in the form of checkboxes. Each command button and checkbox has associated VBA program code. The command buttons and associated automation is used to create table headings related to asset IDs or names. The checkboxes and associated automation is used to create the list of applicable SRGs/STIGs under each heading. Some headings are created in association with some checkboxes.

NOTE: Any deletion of the command buttons or checkboxes, modification of their properties, or modification of the associated VBA code, will most likely break the *Tool* functionality. Additionally, the hyperlinks in the decision tree and SRG/Selection tables rely on bookmarks placed throughout the document. If a bookmark is inadvertently deleted, a hyperlink and thereby the *Tool* will be broken.

The web links, shown as [Download], link to the web pages on the iase.disa.mil web site on which the particular SRG or STIG can be located and manually downloaded.

### Using the *Tool*

To determine what SRGs/STIGs are applicable to a given review, site, system or asset, the user will proceed through the Flow Chart / decision tree. The first step in the process is to determine the scope of the SRG/STIG collection through the “[Determine the Scope of the SRG/STIG Collection](#Flow_SCO)” flow chart. This provides entry points into the other flow charts at various levels so that the user can start at the system or device level if they are not addressing a larger entity such as a site or enclave.

### Navigating the Flow Charts

The flow charts four types of hyperlinks: conditional or unconditional and one-way or bidirectional.

Many processing elements are conditional, posing a question and listing optional responses as shown in the one-way / bidirectional hyperlink example shown later.

Unconditional hyperlinks require the user to follow the hyperlink to another flow chart or to make a particular SRG or STIG selection. Unconditional hyperlinks appear in the path of the flow chart arrows as shown here in the following hypothetical example:

[GO Select the Network Policy SRG]

ENC4 **..**  NOTE: Existing document based on existing policy.

The return bookmark .. (text is searchable)

The flow chart path upon return

[GO to the Network Transport Element Type Flow Chart.]

NOTE: A hyperlink requiring a particular SRG or STIG selection is an indication that the SRG or STIG is required to be applied to the entity being addressed. (NOTE: If there is only one choice for a required item, the Tool may automatically add it to the collection.)

As noted, some of the hyperlinks provide for one-way navigation while others require that the user return to the hyperlink continue the process. The hyperlinks requiring a return will have a flow chart line (typically to the right of the page) showing the path to the next step that and a return anchor point. Return anchor points are used by other hyperlinks in the SRG/STIG tables and other [Return] hyperlinks as their destination. Examples are shown on the next page.

NOTE: The user may also enter the **Alt🡨** key sequence (Alt + left arrow together) to return to the last hyperlink used. This method is required for a return in some cases where the number of return hyperlinks is excessive. Also note: Additional entries of Alt🡨 will step the user back through the series of hyperlinks used recently. Alt🡨 can also be used to return to the last table of contents hyperlink used if this was the most recent hyperlink used.

NOTE: Tool automation has been updated to eliminate the need to select a hyperlink or use **Alt🡨** to return to the flow chart following a SRG/STIG selection effected by clicking its checkbox. The automation automatically takes the user back to the last hyperlink used that took the user to the SRG/STIG table. If no selection is made, **Alt🡨** should be used to return to the flow chart. The existing “return to” links may be eliminated to eliminate issues caused by returning to the wrong flow chart.

NOTE: On-way hyperlinks are typically used for selecting physical things while hyperlinks requiring a return are used for applications and functions where multiple selections of the same type are allowable or there is a required progression from one selection to the next, such as from OS to applications.

**Functional Example of one way and bi-directional navigation under a conditional element**

NOTE: only the first link in each example works as does the [Return] link.

**One way flow chart entry:**

The destination bookmark of the hyperlink (searchable)

The hyperlink to click if the answer is affirmative

The question

Is this thing a (an) …

The selection

* Something?

No If YES, [[GO to, select, or do something](#Flow_EX1)] [EX1]

**NOTE:** A note or example to help decide if this is the appropriate selection that

may appear above the hyperlink or below it.

Flow chart path if the answer is negative.

Subsequent selection

* Something Else?

No If YES, [GO to, select, or do something else] [EX1]

**Flow chart requiring a return to this location to continue:**

The destination bookmark of the hyperlink (searchable)

The hyperlink to click if the answer is affirmative

The question or selection

Flow chart path if the answer is negative.

* Question?

No If YES, [[GO to, select, or do something](#Flow_EX2)] [EX2]

**NOTE:** A note or example to help decide if this is the appropriate selection that

may appear above the hyperlink or below it.

The flow chart path upon return

EX1 **.****.** Hyperlink destination 1, one-way Alt🡨 to return if followed in error

NOTE: If for some reason, the hyperlinks do not work, the text tags for the bookmarks that the hyperlinks refer to can be searched as a means of navigation. Hyperlinks may not work properly if a bookmark is modified, deleted, or moved. Similarly the text tags will not be searchable if modified or deleted.

Some of the flow charts include blue command buttons. When a command button is encountered as a step in the flow chart, it should be clicked. These typically are implemented as a required flow chart element. Upon doing so, the user will be prompted via a dialog box to enter a name or ID for the asset type being addressed at this point in the process. This information will be used to create headings in the collection document under which the applicable SRGs/STIGs will be listed. Meaningful names and asset IDs must be entered to make the output of the tool useable. These headings can be edited in the final document as well. In some cases, following the entry of an asset heading, one or more required STIGs will automatically be added to the SRG/STIG collection.

**CAUTION:** Users should not modify this document, its hyperlinks, bookmarks, or VBA code. Doing so may break its functionality. Furthermore, if modifications do occur, that break functionality or STIG applicability information, such documents must not be distributed to others for use. They should be deleted and a fresh copy downloaded. Redistributed copies of this document cannot be supported by FSO, particularly if they are modified or broken. All recommendations, changes, corrections, broken functionality reports, etc. should be referred to FSO as noted below in [Document Revision Requests](#DocRevs).

#### SRG/STIG Applicability Information and Selection Table Description

The following is an example of a SRG/STIG description section and selection table with the key elements defined in the [Selection Table Element Description KEY](#TblKey) (to return use Alt🡨) below the table.

**A**

**## SRG or STIG Name.**

**B**

– Description and notes.

**2**

**2b**

**3**

**1**

**..** BKMRK **Please select ONE from the table below; see above for SRG/STIG info.**  Alt🡨 to Return

|  |  |  |
| --- | --- | --- |
| **Selection Criteria Type** | | **Applicable STIG guidance and Tools** |
|  | .x99  **7**  **5**  **6**  **8**  Selection Criteria 1 | * Applicable STIG package name   + Relevant STIG filename   [[Download]](http://iase.disa.mil/stigs/)  Additional resources:  **9**   * Optional items if they exist   [[Download]](http://iase.disa.mil/stigs/)  **4** |
|  | | **Alt🡨** to Return to the last hyperlink used. |
|  | .x991  **5**  **6**  **9**  **8**  **7**  Selection Criteria 2  [ ] Item 1  [ ] Item 2  **10**  [ ] Item n  **AFTER clicking the checkbox (when previewing the entry in the collection):**  **Please type an X in the “box” next to the item in use**  **AND specify the version below:**  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | * Applicable STIG package name   + Relevant STIG filename   [[Download]](http://iase.disa.mil/stigs)  Additional resources:   * Optional items if they exist   [[Download]](http://iase.disa.mil/stigs/) |
|  | .x992  **9**  **7**  **11**  **8**  **6**  Other  **AFTER clicking the checkbox (when previewing the entry in the collection):**  **Please provide the Mfgr, Model, Version below:**  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | * Applicable STIG package name   + Relevant STIG filename   [[Download]](http://iase.disa.mil/stigs/)  Additional resources:   * Optional items if they exist   [[Download]](http://iase.disa.mil/stigs/)  **4** |
|  | | **Alt🡨** to Return to the last hyperlink used. |

**Selection Table Element Description KEY:** Alt🡨 to Return

1. Numbered heading for the particular SRG or STIG or family of SRGs/STIGs described and selected through the table. Appears in the table of contents.
2. Description of the SRG or STIG or family related to what the SRG or STIG addresses coupled with any relevant additional information.
3. Landing zone bookmark. This is where the user will land when a flow chart hyperlink directs them here.
4. Instructions for making a selection. Most require a single selection while others require selecting all that apply before returning to the Flow Chart. This process requires selecting one checkbox at a time; letting the automation add the selection to the collection; then returning to the table to clich the next checkbox.

2b. Instructions to the user to scroll up if they want to read the description of the SRG or STIG, related notes and information

1. Quick return instructions if just landing here.
2. Instructions on how to return to the flow chart hyperlink from whence one came to this table. One or more return hyperlinks may appear here. Normally the automation will return the user to the proper flow chart location.
3. Selection criteria.
4. Item selection checkbox. Clicking on the checkbox will place a checkmark inside it. If the macro automation is enabled, then the left two cells of the table row will be copied to the end of the SRG/STIG collection. Again If the macro automation is enabled, clicking on a checkbox that has a checkmark will invoke a dialog box asking what to do. One selection will permit the removal of the most recent addition of this selection from the collection. A second selection will permit the box to be unchecked without this removal so it can be rechecked and another instance of the selection added to another part of the SRG/STIG collection.
5. Checkbox ID number. Used for automation troubleshooting.
6. The listing of applicable SRGs and STIGs for the selection criteria along with an optional listing of “additional resources” related to the SRG or STIG.
7. Download links to the iase.disa.mil/stigs/ page where the related content can be located and downloaded.
8. Optional selection criteria where there are a number of similar items (such as product versions) to which the applicable STIG applies. The user is requested to place an X between the brackets that form a “box” next to the particular item they have. This will inform others that need to review the SRG/STIG collection regarding the particulars of the selection. The user can place the cursor in the space between the brackets and type a capitol X. This should be done AFTER clicking the checkbox when previewing the entry in the collection, but can also be done after the selection is added to the collection.
9. Optional selection criteria where none of the previous criteria fit the item being addressed. The user is asked to type in a description AFTER clicking the checkbox when previewing the entry in the collection.

#### An Example of the Process Flow

1. Begin navigating the flow charts at the “Determine the Scope of the SRG/STIG Collection” flow chart. From here you will use the hyperlinks to navigate to the next flow chart appropriate to the scope selected. Let’s say you are defining a SRG/STIG collection for a single general purpose server with some applications. You click on the applicable hyperlink.
2. You land at “Determining the STIGs applicable to a Single Device or Computing Platform” where we select the device type.
3. You select the “General Purpose Server Computing Platform” hyperlink.
4. At the destination you find a discussion followed by the flow chart.
5. Upon entering the flow chart you encounter a command button and are asked to “Click to ENTER the Name/ID for this Server Platform”. You do so then enter the name/ID in the dialog box then click OK. Another dialog box appears asking to verify what you entered. This provides an opportunity to correct the entry if needed.

NOTE: if this is the first entry, the dialogue will also ask for the user’s name and organization. This information is used when creating the title page and header entries in the Collection document.

1. Upon clicking OK the automation takes over and creates a document heading using the information you entered and places this in the external collection document.

NOTE: if this is the first selection, the external collection document template will be opened, the title page and header entries added, then saved to the “Output” folder with an appropriate collection name along with its .ini file. The document will contain the first heading indicating the target of the collection.

1. Follow the flow chart to the next question and answer appropriately. For simplicity of this example, skip the removable media and virtualization questions, and proceed to the “Server OS selection flow chart”.
2. Select the OS for the server by clicking the appropriate hyperlink. This will take you to a SRG/STIG selection table.
3. Find the applicable OS type/version then check the checkbox on the left.

NOTE: Some SRG/STIG selections will ask for additional info or possibly ask to place an x in the brackets [ ] provided. Doing so will enhance the tool’s output. This must be done in the external document during or after development. A dialogue box may appear to request the information. APL vendors are required to follow these instructions.

1. Upon clicking the checkbox the automation copies that table row and places it at the end of the Collection document.
2. The process flow now returns the user to the last flow chart hyperlink used.
3. Follow the flow chart line on the right to the next step. For simplicity in this demonstration skip the virtualization questions and select the “Go select the server platform's Applications” hyperlink.
4. In the server applications flow chart there is a command button to enter an application’s heading in the collection. Click this button ONCE per application selection session for any given device OS instance. (NOTE: This may be automatically entered in the future.)
5. Scan the applications flow chart from top to bottom selecting all application type hyperlinks in sequence for all applications residing on the server. Each hyperlink selection follows the SRG/STIG selection / entry steps noted in 9 through 13 above, except we are selecting applications rather than an OS.
6. Once all of the application SRGs/STIGs are selected and listed in the collection, the flow chart will prompt you to address the next device.

NOTE: When selecting SRGs/STIGs for multiple assets, there may be a need to assign a given SRG or STIG to several assets. When working through the process, if a SRG or STIG is encountered that has already been checked, the checkbox will need to be un-checked and checked again. Un-checking the box will cause a question to be displayed in a dialog box asking if you want to add the STIG to a new asset or delete the previous selection. Follow the prompts to answer the related questions.

### Downloading SRGs and STIGs

Each SRG/STIG selection table entry has a [Download] link that will take the user to the appropriate page on the [IASE](http://iase.disa.mil) web site from which the selected SRG or STIG may be downloaded. It is recommended the user use the [Download] hyperlink to download the selected SRG/STIG package from the IASE web site as the SRG / STIG collection is created.

NOTE: these links can also be used after the collection is completely generated.

Downloaded SRGs/STIGs should be placed in a folder or folder tree by themselves. It is also recommended a folder be used for each individual entity or asset being addressed. The folder tree should contain one folder for each main heading in the collection. The folder for a given site, enclave, or network should contain all related SRGs and STIGs deemed to apply. The folder for each physical or virtual device being addressed should have the SRGs and/or STIGs for the device’s OS and/or hypervisor and all of the SRGs and/or STIGs for the device’s applications. Once the flow chart is fully processed and downloads completed, these folders should contain all of the SRGs/STIGs applicable to the given asset or group of assets being reviewed. Folder creation and downloading is a manual process.

As an alternative to downloading individual SRG/STIG packages, the user can locate the applicable SRG/STIG content in the SRG/STIG Library Compilation .zip package downloaded in advance. [[Download](http://iase.disa.mil/stigs/dod_purpose-tool/index.html)] This .zip package is useful if the user’s machine is disconnected from the network while using the tool. SRG/STIG packages should be COPIED (not moved) from the library into the folder tree described above.

### Integration with FSO’s STIG Viewer

Once the SRGs and STIGs are collected as described above, each folder may be zipped then ingested into STIG Viewer via the “Import STIG from ZIP” function. Zipping and ingesting a large collection that contains multiple levels such as an enclave (network) along with devices such as servers and workstations, then importing into STIG Viewer is not recommended, This is because there will be no way to separate the requirements shown for different assets.

## UC APL Specific Information

Vendors entering the Unified Capabilities (UC) Approved Products List (APL) must utilize this tool to produce one or more SRG/STIG Collection documents that define what technologies their product is based upon and the SRGs and/or STIGs applicable to the product being presented. This document will be used as an artifact in and of the APL process. The decision tree is processed for each device or asset that is delivered as part of the product (i.e., each asset that is within the accreditation boundary). This may be a single device, a system of devices, or a system of virtualized devices on a single platform. This separate document becomes the deliverable which is one part of the required documentation package to be submitted to the Unified Capabilities Certification Office (UCCO) via their DISA web page located at: <http://www.disa.mil/ucco/> or via the UC APL web site at <https://aplits.disa.mil/>. For details on submitting products to the UCCO for APL consideration please see the UC APL Process Guide available from either web site.

NOTE: An asset is generally a physical device with an operating system and applications. A vendor’s product may consist of multiple physical assets. However, a vendor’s product may consist of a physical device supporting several virtual devices, each virtual device/machine must be treated as a physical asset. Additionally, an asset may just be an application, depending upon the accreditation boundary and who provides the supporting platform. In most cases, however, vendors will be addressing physical devices.

## SRG/STIG Availability

The latest versions of all SRGs/STIGs and other security related information may be obtained from the unclassified Information Assurance Support Environment (IASE) web site located at <http://iase.disa.mil/>. The STIG pages may be accessed directly here: <http://iase.disa.mil/stigs/>.

While many of the site resources and SRGs/STIGs are publically releasable, some documents are marked For Official Use Only (FOUO). As a result the links to these documents and some areas of the IASE website is access restricted. As such these areas and documents require the use of a DoD Common Access Card (CAC) based Public Key Infrastructure (PKI) certificate to access them. DoD contractor personnel and sponsored vendor representatives doing officially sanctioned business with the DoD but who do not possess a DoD CAC may obtain copies of these restricted documents through their government sponsors.

## Document Revision Requests

Comments or proposed revisions to the SRGs, STIGs, or the SRG/STIG Applicability Guide and Tool document set should be sent via e-mail to the FSO STIG-Customer Support Desk at

[disa.letterkenny.FSO.mbx.stig-customer-support-mailbox@mail.mil](mailto:disa.letterkenny.FSO.mbx.stig-customer-support-mailbox@mail.mil)

DISA FSO will coordinate all change requests with the relevant DoD organizations.